

**Eric Weiss, CFP®
Disclosure Form**

Part I. **GENERAL INFORMATION**

- A. Business Affiliation: Fee-Only Financial Planner/Registered Investment Advisor
Fidelity Registered Investment Advisor Group provides brokerage and custodial services to clients
- B. Address: 18001 Old Cutler Road, Suite 653
Miami, FL 33157
- C. Telephone: 786 293-8806
- D. E-Mail: ericweiss@brightscape.com
- E. Information required by all laws applicable to the relationship: Form ADV Part II

Part II. **MATERIAL INFORMATION RELEVANT TO THE PROFESSIONAL RELATIONSHIP**

A. Basic philosophy of the CFP certificant in working with clients:

Our approach to personal financial planning is to obtain from you financial and other information including your attitudes, goals and objectives; to analyze the information in order to develop alternatives for your consideration; to educate you about the implications of selecting a particular alternative; to implement the alternative selected by you; and to periodically update the plan adopted.

B. Principles and procedures which will be utilized:

My financial planning principles and procedures are: to gather information about a client's personal financial situation; to determine the client's goals and objectives, time horizon, and risk tolerance; to analyze all of the foregoing information in an objective manner and to develop recommendations for clients based upon this thorough analysis and in the interest of rendering disinterested advice. In a personal financial planning engagement, I endeavor to consistently act

in the interest of the client and to place his or her interest ahead of my own.

C. Summarized below is a shortened version of my resume:

1. Educational background:

B. A., International Affairs, The George Washington University, 1975
M.A., Economics, Columbia University, 1976
M.B.A., Finance, The University of Chicago, 1979

2. Professional employment history:

Brightscape Investment Centers, Inc.: Founder, 1997 – present
Chase Manhattan Bank: VP Private Banking, 1994-97, Miami
Citibank: VP Corporate Finance, 1988-94, Latin America and New York
American International Group: Treasury Manager, 1986-88, New York
Engelhard Corporation: Credit Manager, 1984-86, Iselin, NJ
Chase Manhattan Bank: Lending Officer Second VP, 1979-84, New York

3. Professional certifications and licenses held:

Certified Financial Planner™ PRACTITIONER - 2006
NASD Series 65 (Registered Investment Advisor) license – 1997

D. Description of the financial planning/asset management services to be provided by the CFP certificant (elaborated in greater detail in Engagement Letter):

Financial Planning Services:

- Strengths and weaknesses of your current financial situation
- Analysis and recommendations pertaining to investing your investment portfolio to ensure an appropriate amount of income and growth
- Develop a plan to ensure you have sufficient funds to meet your spending during retirement
- Assessment of the probability of success of achieving your objectives
- Reviewing your tax and estate information, within the context of your overall plan, and making appropriate recommendations
- Providing appropriate ongoing analysis of the plan and making timely recommendations based on existing conditions

Asset Management Services:

- Design of an investment portfolio appropriate to your individual circumstances
- Design an Investment Policy Statement outlining the assumptions, objectives and operational aspects of your investment portfolio
- Quarterly written reports on the status of your investment portfolio
- Two meetings each year to review and update your objectives and financial status and provide an evaluation of your investment portfolio
- Ongoing monitoring
- Recommendations involving investment repositioning and current opportunities for new investments

E. **Conflicts of interest and source of compensation:**

1. Conflicts of interest: None
2. Sources of compensation: Fees from clients

F. **Agency or employment relationships:** None

Part III. **ADDITIONAL NOTIFICATION**

- A. As a client or prospective client, you have the right to ask me, as a CFP® certificant, at any time for information about my compensation related to the services I provide you. I will communicate in reasonable detail as it relates to our financial planning engagement, including compensation derived from implementation.
- B. As a CFP certificant's personal financial planning client, you have the right to receive annually my current SEC Form ADV Part II.

I hereby acknowledge receipt of this required disclosure.

_____/_____
Date

_____/_____
Date